

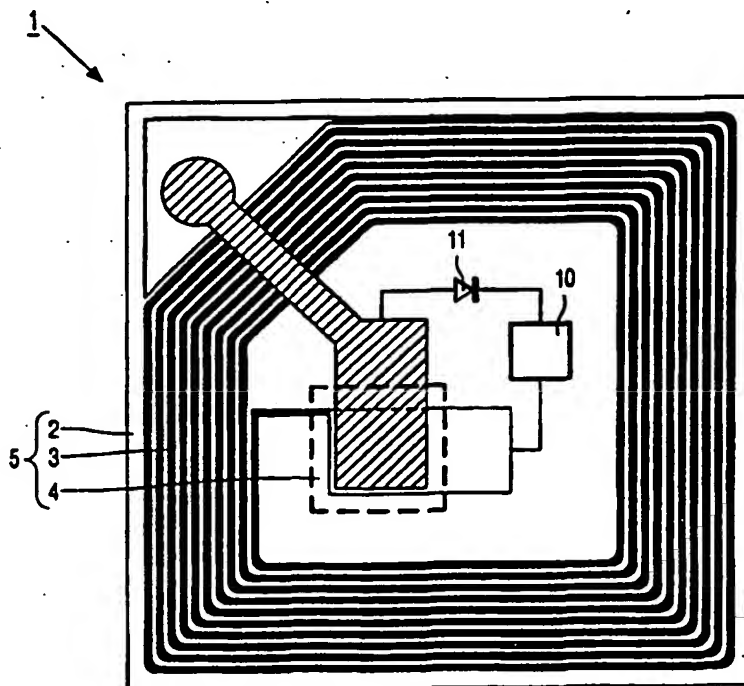
PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : <b>H04B 1/59</b>	<b>A1</b>	(11) International Publication Number: <b>WO 99/30432</b> (43) International Publication Date: <b>17 June 1999 (17.06.99)</b>
<p>(21) International Application Number: <b>PCT/IB98/01843</b></p> <p>(22) International Filing Date: <b>20 November 1998 (20.11.98)</b></p> <p>(30) Priority Data: <b>97203826.9</b>      <b>5 December 1997 (05.12.97)</b>      <b>EP</b></p> <p>(71) Applicant: <b>KONINKLIJKE PHILIPS ELECTRONICS N.V.</b> (NL/NL); Groenewoudseweg 1, NL-5621 BA Eindhoven (NL).</p> <p>(71) Applicant (for SE only): <b>PHILIPS AB</b> [SE/SE]; Kottbygatan 7, Kista, S-164 85 Stockholm (SE).</p> <p>(72) Inventors: <b>DE LEEUW, Dagobert, M.</b>; Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL). <b>HART, Cornelis, M.</b>; Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL). <b>MATTERS, Marco</b>; Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL).</p> <p>(74) Agent: <b>HESELMANN, Gerardus, J., -M.</b>; Internationaal Octrooibureau B.V., P.O. Box 220, NL-5600 AE Eindhoven (NL).</p>		<p>(81) Designated States: JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</p> <p><b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>

(54) Title: IDENTIFICATION TRANSPONDER



(57) Abstract

An identification transponder comprising an identification code generator (10) embodied as an integrated circuit predominantly comprising organic materials. The integrated circuit may be provided on an anti-theft sticker (5) accommodating an LC resonant circuit (3, 4).

BEST AVAILABLE COPY

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TC	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon	KR	Republic of Korea	PL	Poland		
CN	China	KZ	Kazakhstan	PT	Portugal		
CU	Cuba	LC	Saint Lucia	RO	Romania		
CZ	Czech Republic	LI	Liechtenstein	RU	Russian Federation		
DE	Germany	LK	Sri Lanka	SD	Sudan		
DK	Denmark	LR	Liberia	SE	Sweden		
EE	Estonia			SG	Singapore		

**BEST AVAILABLE COPY**

**Identification transponder.**

The present invention relates to an identification transponder. The inventive identification (ID) transponder labels a product, article or other sort of item provided therewith with a distinct electronically encoded identification code. By means of the ID code the whereabouts of the item so labeled can be tracked and monitored in time so as to improve the logistics associated therewith or pertinent attributes such as the price can be associated with that item. An identification transponder is also referred to as an electronic identification tag or an electronic bar code or the like.

A first embodiment of the inventive ID transponder comprises an identification code generator and an LC resonant circuit. The presence of the LC circuit allows the transponder to be interrogated contactless and at a distance by means of electromagnetic radiation most suitably within the radio frequency (RF) range. A base station or scanner or the like sends out an RF signal which is picked up in the LC circuit thus generating the supply voltage for the ID code generator. If the ID code generator requires a direct voltage supply one or more diodes are used to rectify the signal output of the LC circuit. The ID code generator periodically damps the LC resonance circuit. The damping characteristic is determined by the specific distinct ID code programmed in the specific ID code generator. The damping characteristic is detected by the base station in a conventional manner and thus enabling the ID code to be received by the base station.

The identification (ID) code is encoded as a bit pattern in the identification code generator. Encoding, which involves setting the value of individual bits in the bit pattern, may be done at the time the integrated circuit (IC) is manufactured or at a later stage in which case the generator is said to be programmable. The ID code generator may be of a conventional design. A suitable embodiment is a programmable ROM including a clock generator, address counters and selection logic capable of addressing each individual ROM cell (one ROM cell being one bit in the pattern) one after the other. In operation, the ID code generator generates a serial bit stream which is repetitive in accordance with the bit pattern.

The ID code generator is embodied as an IC predominantly comprising organic materials. In particular, it comprises polymeric materials. By means of a suitable selection of organic and/or (polymeric) materials, a flexible thin-film ID code generator IC and

transponder can be manufactured using a very simple process at a low cost significantly lower than a silicon based device. The low cost allows the transponder to be used as a disposable electronic ID tag. Such disposable ID tags have many useful fields of application such as tagging products sold in retail and tagging mail to be delivered by postal services. Such a flexible thin-film transponder which is still operative when it is bent may be suitably provided onto flexible articles or articles which are wrapped or packaged using a flexible packaging material.

In a particular embodiment the ID code generator IC is combined with an anti-theft sticker of a known type which comprises an LC resonance circuit.

A second embodiment of the inventive ID transponder also comprises an identification code generator which receives a direct voltage generated by rectifying an alternating voltage supplied to the ID transponder by a base station by means of a capacitive coupling between the base station and the ID transponder. Upon receiving the direct voltage the identification code generator modulates the direct voltage according to a bit pattern by switching on and off a load transistor over the direct voltage.

The above and other features and advantages of the invention will be apparent from the following description of exemplary embodiments of the invention with reference to the accompanying drawings, in which:

Figure 1 is a plan view of an embodiment of an RF identification transponder in accordance with the invention,

Figure 2 is a circuit diagram of an embodiment of an identification transponder in accordance with the invention in which the transponder is capacitively coupled to a base station.

The Figure 1 shows a plan view of an embodiment of an RF identification transponder in accordance with the invention indicated by the reference sign 1. The transponder comprises an anti-theft sticker 5 which is of a known type. It consists of a paper or synthetic resinous sheet 2 which is provided with electrically conductive windings forming a coil 3 with inductance L and a capacitor 4 having a capacitance C. The coil 3 and capacitor 4 are connected so as to form an LC resonant circuit. The transponder 1 further comprises a programmable ID code generator 10 embodied as an integrated circuit which is connected via the rectifying diode 11 to the LC circuit (shown diagrammatically).

The ID code generator 10 is a programmable 15-bit ROM including a clock generator, address counters and selection logic capable of addressing each individual ROM cell one after the other at a rate of 30 bits per second.

The ID code generator 10 is embodied as an IC predominantly comprising organic materials. The layout of the IC is such that it has an area of 27 mm<sup>2</sup>, and includes 326 thin-film top-gate MISFETS which are connected by means of 300 vertical interconnects. The IC may be suitable driven at a direct voltage of 10 V.

5 The MISFETS and horizontal interconnects are manufactured in accordance with the process outlined in the non-prepublished European patent application with application number 97202587.8 (PHN 16.502). An identical process is outlined in the non-prepublished European patent application with application number 97202589.4 (PHN 16.503). Materials and the thickness of the various layers are identical to those used in said patent applications.

10 The vertical interconnects (which may be made by any suitable conventional process such as photolithography) are manufactured in accordance with the method outlined in the non-prepublished European patent application with application number 97202589.4 (PHN 16.503).

The bit pattern representing the ID code may be programmed during manufacture or at  
15 any suitable time afterwards. In the present embodiment, the 15-bit bit pattern is accommodated by 15 vertical interconnects areas of the type described in the non-prepublished European patent application with application number 97202589.4 (PHN 16.503) which are or may be programmed using the mechanical method described therein. Programming may be executed manually, or in an automated manner using conventional  
20 apparatus such as a probe analyzer or a purpose-built apparatus. The mechanical method is particularly suitable if the ID code generator is to be programmed at the time at which the transponder is attached to the item which is to be electronically identifiable.

When the transponder 1 is positioned nearby an RF base station (not shown) of a conventional type which is set up so as to be able to detect the signal generated by the  
25 transponder 1 and an (for example 8.2 MHz) RF signal is sent out by the base station the ID code is successfully transmitted to the base station.

Figure 2 shows an identification transponder IDT which can be capacitively coupled to a base station BS for reading out the identification code. The identification transponder IDT has two contact pads P1 and P2 which can be capacitively coupled to corresponding contact  
30 pads P3 and P4, respectively, of the base station BS. The capacitive coupling enables a contact-less coupling between the base station BS and the identification transponder IDT. The base station BS comprises an AC voltage generator which is connected to the contact pad P3 through an inductance L or through a resistance R (not shown). A capacitor C2 is connected between the contact pads P3 and P4. Also connected to contact pad P3 is a demodulator

DEMOD. The identification transponder IDT comprises an identification code generator IDCG which corresponds to the identification code generator 10 in Fig. 1 and which can be made in the same way as described in relation to Fig. 1. The identification code generator IDCG comprises a read-only memory ROM and a modulator MOD which both receive a DC working voltage from a positive supply terminal VP and a negative supply terminal VN. A smoothing capacitor C1 is connected between the positive supply terminal VP and the negative supply terminal VN. The contact pad P1 is connected to the positive supply terminal VP through a diode D2 which is poled to conduct current from the contact pad P1 to the positive supply terminal VP, whereas the contact pad P2 is directly connected to the negative supply terminal VN. A diode D1 is connected between the contact pad P1 and the contact pad P2 and conducts current from contact pad P2 to contact pad P1. The modulator MOD drives the gate of a load transistor TL which is connected between the positive supply terminal VP and the negative supply terminal VN. The diodes D1 and D2 are preferably made from diode-connected MISFETS as described hereinbefore, in a fashion denoted in Fig. 2 by FET.

The contact pads P1/P3 and the contact pads P2/P4 form capacitances and enable contact-less capacitive coupling of the base station BS and the identification transponder IDT. The oscillator OSC generates an AC voltage of, for example, 10 to 100 Volts with a frequency in the range of, for example, 10 to 50 kHz. During read-out of the identification transponder IDT the base station BS is coupled to the identification transponder IDT via the capacitances formed by the contact pads P1/P3 and P2/P4, typically 10 to 20 pF. This capacity corresponds to an area of  $1 \text{ cm}^2$ , a distance of the contact pads of 100 micrometer and paper as a dielectric material between the contact pads. During read-out the AC voltage is rectified by the diodes D1 and D2 and converted to a DC working voltage at the positive and negative supply terminals VP and VN for supplying a working voltage to the identification code generator IDCG. Upon receiving the DC working voltage the modulator MOD drives the load transistor TL in and out conduction according to a bit pattern stored in the read-only memory ROM. The switching of the load transistor TL changes the load impedance at the contact pads P3 and P4 according to the same bit pattern and this change is detected by the demodulator DEMOD in the base station BS and reconverted to a data signal. The bit pattern in the read-only memory ROM may be programmed by means of a laser.

The implementation in organic materials and the capacitive coupling provide an identification transponder with mechanical flexibility and small thickness, suitable for incorporating in paper or textile. The identification transponder can be used as electronic

identification tag in bank notes, passports and the like, and in clothes, watches, jewelry and the like.

## CLAIMS:

1. An identification transponder comprising an identification code generator embodied as an integrated circuit predominantly comprising organic materials.
2. An identification transponder comprising an identification code generator (10) embodied as an integrated circuit predominantly comprising organic materials wherein said integrated circuit is provided on an anti-theft sticker (5) accommodating an LC resonant circuit (3, 4).
3. An identification transponder as claimed in claim 1, further comprising: an  
10 identification code generator (IDCG), first (P1) and second (P2) contact pads for capacitively coupling the transponder (IDT) to corresponding contact pads (P3, P4) of a base station (BS) and for receiving an AC voltage from the base station (BS), means (D1, D2, C1) for rectifying the AC voltage and for generating a DC working voltage for the identification code generator (IDCG), a load transistor (TL) driven by the identification generator (IDCG) for loading the  
15 DC working voltage in response to an identification code generated by the identification code generator (IDCG).
4. An identification transponder as claimed in claim 3, wherein the means for rectifying the AC voltage and for generating the DC working voltage comprises: a first diode (D1)  
20 connected between the first (P1) and second (P2) contact pads, a second diode (D2) connected between the first contact pad (P1) and a first supply terminal (VP) of the DC working voltage, and a capacitive element (C1) connected between the first supply terminal (VP) and a second supply terminal (VN) of the DC working voltage.
- 25 5. An identification transponder as claimed in claim 4, wherein the first and second diodes (D1, D2) are diode-connected organic field-effect transistors (FET).



1/2

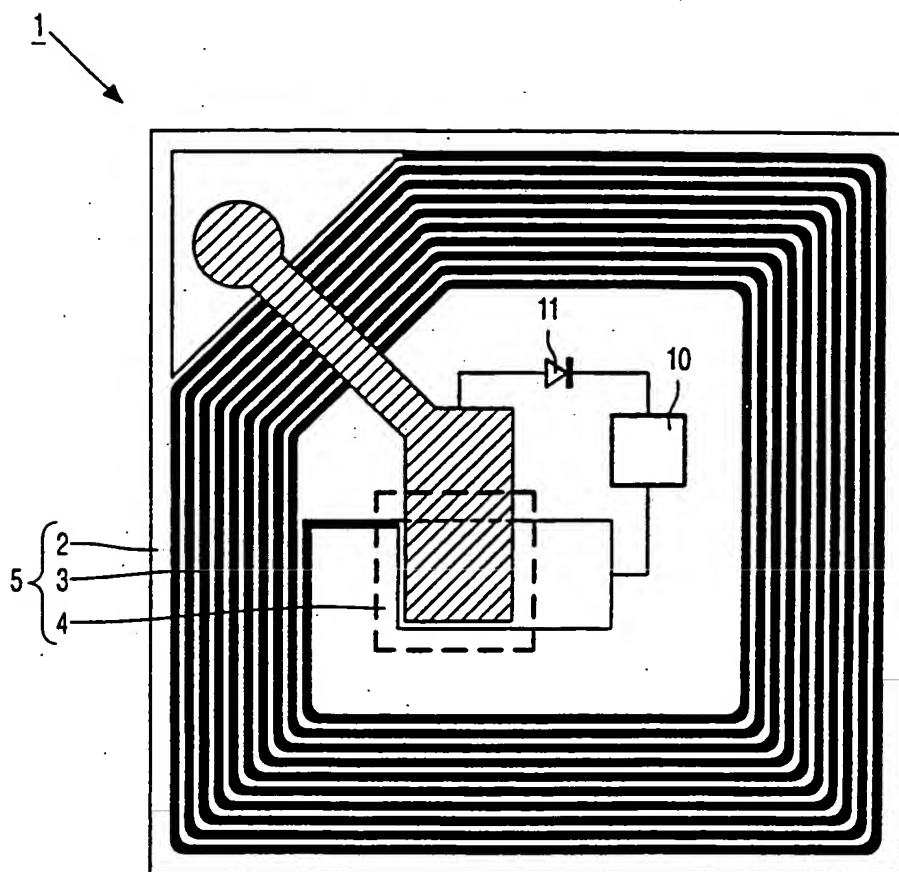
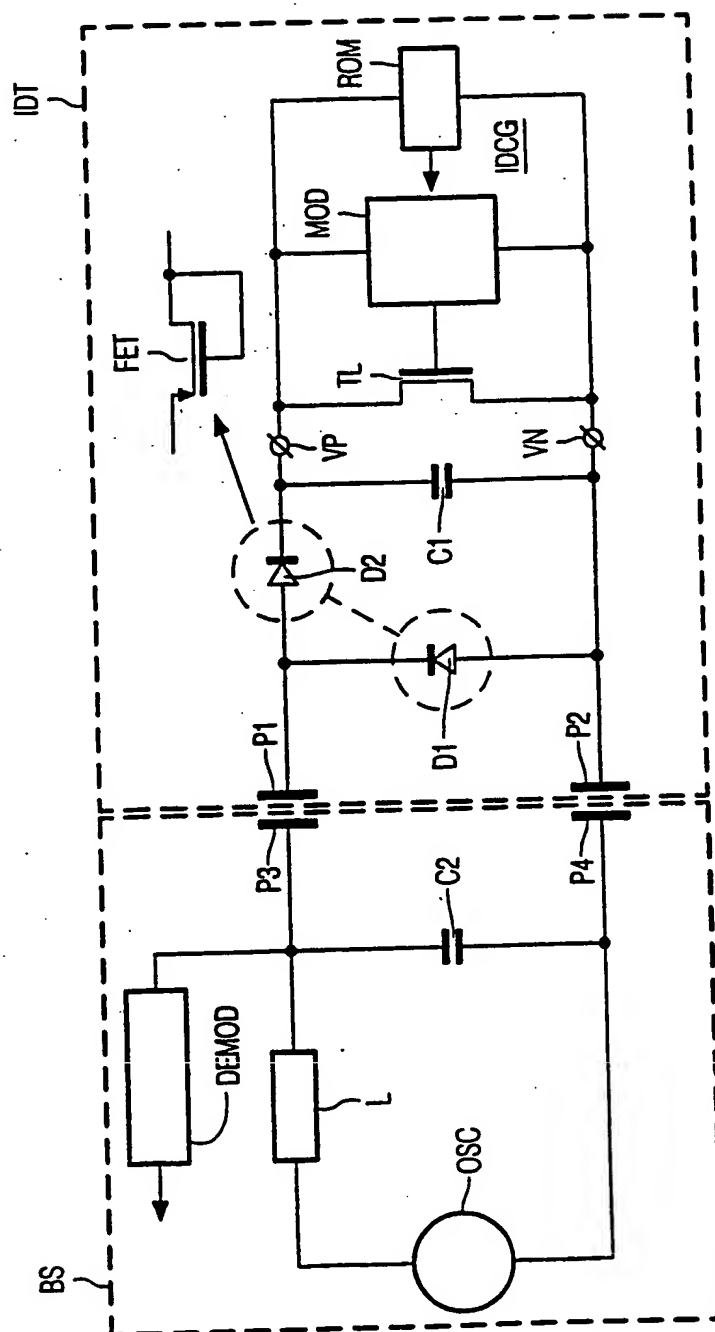


FIG. 1



1

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/IB 98/01843

## A. CLASSIFICATION OF SUBJECT MATTER

IPC6: H04B 1/59

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: H04B, G06K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WPI, JAPIO

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0230642 A2 (GTE VALERON CORPORATION), 5 August 1987 (05.08.87), column 2, line 5 - line 27, abstract	1-5
A	US 5173849 A (DAVID R. BROOKS), 22 December 1992 (22.12.92), see whole document	1-5
A	US 5028918 A (THOMAS E. GILES ET AL), 2 July 1991 (02.07.91), see whole document	1-5
A	US 5382952 A (KEVIN D. MILLER), 17 January 1995 (17.01.95), see whole document	1-5

☐

Further documents are listed in the continuation of Box C.

☒

See patent family annex.

\* Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"I" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

5 May 1999

Date of mailing of the international search report

07-05-1999

Name and mailing address of the ISA/

Swedish Patent Office  
Box 5055, S-102 42 STOCKHOLM  
Facsimile No. +46 8 666 02 86

Authorized officer

Rune Bengtsson

Telephone No. +46 8 782 25 00

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

International application No.

PCT/IB 98/01843

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 0230642 A2	05/08/87	AT 70643 T CA 1290840 A DE 3683030 A JP 1943742 C JP 6071344 B JP 62181857 A US 4742470 A	15/01/92 15/10/91 30/01/92 23/06/95 07/09/94 10/08/87 03/05/88
US 5173849 A	22/12/92	AT 136700 T AU 2627588 A CA 1307821 A DE 3855202 D,T EP 0389515 A,B HK 1001829 A JP 3501918 T SG 50451 A WO 8905058 A	15/04/96 14/06/89 22/09/92 14/08/96 03/10/90 00/00/00 25/04/91 20/07/98 01/06/89
US 5028918 A	02/07/91	NONE	
US 5382952 A	17/01/95	AU 668912 B AU 3477193 A CA 2126874 A DE 69302011 D,T EP 0623260 A,B JP 7506427 T WO 9315561 A	23/05/96 01/09/93 05/08/93 31/10/96 09/11/94 13/07/95 05/08/93